



Pricing Fact Sheet

The Investment Advisor Alliance offers two easy to understand fee structures; one with per incidence charges for lesser-used services and one comprehensive pricing plan with only upgrade charge extra. This method allows you to make cost-effective business decisions.

The fee that may be charged to the client household is 1.25% AUM. The hourly fee cap is \$300 per hour based on expertise.

Plan A

The administrative fees to affiliated advisory practices are as follows:

Asset based fees

Up to \$15 million AUM	15 basis points
AUM greater than \$15 million	5 basis points

Planning, consulting, and other fees
5% of revenue

The above fees are subject to a minimum fee of \$1,250 per quarter.

IAA charges a monthly fee of \$120 per advisor and \$60 per unregistered assistant. Offices with multiple advisors receive favorable breakpoints and discounts.

Advisors are responsible for all other costs of conducting business including personal registration fees, branch office fees, and errors and omissions insurance. An on-site compliance examination fee of up to \$600 may be assessed; this is not an annual occurrence.

The administrative and monthly fees charged cover compliance, account administrative services, bookkeeping, billing, fee debiting, email services with archiving, registration maintenance, contracts, procedures, annual updates, document storage, custodian access, and real-time compensation view.

A la carte services and upgrade options are performance reporting, domain names, web hosting, Client Vault setup, Exchange email, form completion, client paper communications, social media support and archiving, and email mobility including Blackberry server and Activesync.

Plan B

The all-inclusive fees, including performance reporting, to affiliated advisory practices are as follows for practices with a minimum of \$5 million in assets under management:

Asset based fees

Up to \$15 million AUM	22 basis points
AUM greater than \$15 million	10 basis points

Planning, consulting, and other fees

5% of revenue

The above fees are subject to a minimum fee of \$2,750 per quarter.

Advisors are responsible for all other costs of conducting business including personal registration fees, branch office fees, and errors and omissions insurance. An on-site compliance examination fee of up to \$600 may be assessed; this is not an annual occurrence.

The administrative and monthly fees charged cover compliance, account administrative services, performance reporting, bookkeeping, billing, fee debiting, email services with archiving, registration maintenance, contracts, procedures, annual updates, document storage, custodian access, real-time compensation view, a domain name, web hosting, social media support and archiving, Client Vaults, and Client Vault setup of 20 households.

A la carte services and upgrade options are Exchange email, client paper communications, form completion, and email mobility including Blackberry server and Activesync.

Offices of multiple advisors are subject to different breakpoints and minimums. Please contact us for a Multi-Advisor Fee Schedule.

Call us at 800-607-3340 for more information.
www.investmentadvisoralliance.com